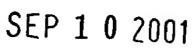


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# Technology Center 2100

PATENTS CF/017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Phillip M. Ginsberg

Application No.: 09/843,093 Conf. No.: 8423

Filed : April 26,2001

For : METHODS AND SYSTEMS FOR ACCESSING

FINANCIAL PROSPECTUS DATA

Group Art Unit: 2131

Examiner : Not Yet Assigned

New York, New York September 4, 2001

Hon. Commissioner for Patents Washington, D.C. 20231

#### INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicant hereby makes the following references of record in the above-identified application:

#### U.S. PATENTS

5,270,922	Higgins	12/14/93
5,428,606	Moskowitz	6/27/95
5,748,931	Jones, et al.	5/5/98

Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate) are enclosed herewith.

It is respectfully requested that these references be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application.

Applicant requests that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

Sheila F. McShane

Reg. No. 44,928

Attorney for Applicant

FISH & NEAVE

1251 Avenue of the Americas

New York, New York 10020-1104

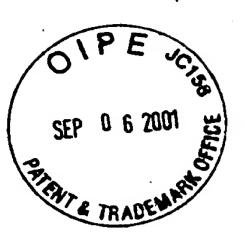
Tel: (212) 596-9000

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REV. 10/94

1-29-02

Docket No. CF/017

Applicant

Phillip M. Ginsberg

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**Technology Center 2100** 

Hon. Commissioner for Patents Washington, D.C. 20231

New York, New York September 4, 2001

### TRANSMITTAL LETTER

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted:

- [ ] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.98, submission of this statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this

Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Sheila F. McShane

Registration No. 44,928 Attorney for Applicant

FISH & NEAVE 1251 Avenue of the Americas New York, New York 10020-1104 Tel.: (212) 596-9000

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<b>FORM</b>	PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. SERIAL NO. CF/017 09/843,093

SEP 0 6 2001

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT
Phillip M. Ginsberg

FILING DATE
April 26, 2001

GROUP
2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,270,922	12/14/93	Higgins	364	408	
	5,428,606	6/27/95	Moskowitz	370	60	
	5,748,931	5/5/98	Jones, et al.	395	356	

FOREIGN PATENT DOCUMENTS

EXAMINER	MINER DOCUMENT	DATE	COLINITOV	CLACO	OLIDOL AGO	TRANSLATION	
INITIAL NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO	
	-						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

SEP 1 0 2001
Technology Center 2100

**EXAMINER** 

DATE CONSIDERED